

# **Logan Capital Management, Inc.**

## **Form ADV Part 2B Brochure Supplement**

### **April 6, 2021**

**3843 West Chester Pike, Suite 150  
Newtown Square, PA 19073**

**215-569-1100**

**www.LoganCapital.com**

*This brochure supplement provides information about the personnel of Logan Capital Management, Inc. ("Logan Capital") listed in the index below. This document supplements the Logan Capital brochure. If you have any questions about the contents of this brochure supplement, please contact Mary T. Evans, CCO at 215-569-1100, or [mtevans@logancapital.com](mailto:mtevans@logancapital.com). The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission ("SEC") or by any state securities authority.*

*Additional information about Logan Capital is available at the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Additional information about the personnel of Logan Capital described in this brochure supplement may also be available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## Delivery

### Material Changes

The brochure supplement for David Schroll, Guy Judkowski and David Kafes have been removed since the last annual update of this brochure on March 31, 2019.

### ADV Part 2B Updates:

We may, at any time, update this brochure supplement and either send you a copy or offer to do so (through electronic means (i.e., email) or in hard copy form).

If you would like another copy of this brochure supplement, please download our entire ADV Part 2 from the SEC Website as indicated above or you may contact our Chief Compliance Officer, Mary T. Evans, CCO, at 215-569-1100, or [mtevens@logancapital.com](mailto:mtevens@logancapital.com).

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Al Besse  
Principal  
President  
Portfolio Manager

*Item 2 - Educational Background and Business Experience*

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Year of Birth: 1957

Education:

- Haverford College (BA, 1979)
- Wharton School, University of Pennsylvania (MBA, 1982)

Business Background:

- Vice President – Investments, First Fidelity Bank, May 1984 to February 1994

*Item 3 – Disciplinary Information*

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Al Besse has never had any disciplinary disclosures to be reported.

*Item 4 – Other Business Activities*

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Al Besse is currently not actively engaged in any other investment related business or occupation.

*Item 5 – Additional Compensation*

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Al Besse receives compensation from Logan Capital Management, Inc. from his responsibilities as Principal and President, and for providing advisory services to clients. Mr. Besse does not receive any other economic benefit for providing advisory services.

*Item 6 – Supervision*

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Al Besse is a member of, and reports directly to, the Logan Capital Management, Inc. Executive Committee. His activities are also monitored by Logan Capital’s Chief Compliance Officer, Mary T. Evans. Ms. Evans can be reached at 215-569-1100.



Dana H. Stewardson

Principal  
Secretary  
Portfolio Manager

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*Item 2 - Educational Background and Business Experience*

Year of Birth: 1961

Education:

- Ohio Wesleyan University (BA, 1984)

Business Background:

- Registered Rep., National Securities Corporation, February 1995 to 2017
- Director and Managing Dir., Mercer Capital Management, Inc., April 1992 to February 1994
- Registered Rep., Edward C. Rorer & Co., Inc., November 1991 to January 1993
- Registered Rep., The Pennsylvania Group, Inc., February 1990 to November 1991
- Registered Rep., Pennsylvania Merchant Group, February 1987 to February 1990
- Registered Rep., Kidder Peabody and Co., Inc., September 1984 to February 1987

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*Item 3 - Disciplinary Information*

Dana H. Stewardson has never had any disciplinary disclosures to be reported.

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*Item 4 - Other Business Activities*

Dana H. Stewardson is currently not actively engaged in any other investment related business or occupation.

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*Item 5 - Additional Compensation*

Dana H. Stewardson receives compensation from Logan Capital Management, Inc. from his responsibilities as Principal and Secretary, and for providing advisory services to clients. Mr. Stewardson does not receive any other economic benefit for providing advisory services.

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*Item 6 - Supervision*

Dana H. Stewardson is a member of, and reports directly to, the Logan Capital Management, Inc. Executive Committee, which is headed by Al Besse. His activities are also monitored by Logan Capital's Chief Compliance Officer, Mary T. Evans. They can be reached at 215-569-1100.



Stephen S. Lee

Principal  
Treasurer  
Portfolio Manager

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*Item 2 - Educational Background and Business Experience*

Year of Birth: 1967

Education:

- Lehigh University (BS, Accounting, 1990)

Business Background:

- Registered Representative, National Securities Corporation, February 1995 to present
- Registered Representative, Mercer Securities, Ltd., December 1991 to February 1995
- Vice President, Mercer Capital Management, Inc., September 1992 to January 1994
- Registered Representative, Merrill Lynch, September 1991 to September 1992

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*Item 3 - Disciplinary Information*

Stephen S. Lee has never had any disciplinary disclosures to be reported.

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*Item 4 - Other Business Activities*

Stephen S. Lee is currently not actively engaged in any other investment related business or occupation.

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*Item 5 - Additional Compensation*

Stephen S. Lee receives compensation from Logan Capital Management, Inc. from his responsibilities as Principal and Treasurer, and for providing advisory services to clients. Mr. Lee does not receive any other economic benefit for providing advisory services.

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*Item 6 - Supervision*

Stephen S. Lee is a member of, and reports directly to, the Logan Capital Management, Inc. Executive Committee, which is headed by Al Besse. His activities are also monitored by Logan Capital's Chief Compliance Officer, Mary T. Evans. They can be reached at 215-569-110



Richard E. Buchwald, CFA

Shareholder  
Managing Director  
Portfolio Manager

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*Item 2 - Educational Background and Business Experience*

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Year of Birth: 1958

Professional Designations:

- CFA (Chartered Financial Analyst): The CFA charter is a globally recognized, graduate-level investment credential. To earn the CFA charter, candidates must have four years of qualified investment work experience, and successfully complete the CFA Program, a graduate-level self-study program that combines a broad curriculum with professional conduct requirements, culminating in three sequential exams. To be eligible to enroll in the CFA Program, candidates must have a bachelor's degree or equivalent education or work experience.

Education:

- Wharton School, University of Pennsylvania (BS Economics, 1980)
- New York University Graduate School of Business (MBA, 1984)

Business Background:

- Managing Director, Berwind Financial Group, LP, August 1990 to August 2000
- Vice President, Investment Banking, Corestates Financial, July 1984 to July 1990
- Analyst, Kidder Peabody & Co., September 1980 to July 1984

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*Item 3 - Disciplinary Information*

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Richard E. Buchwald has never had any disciplinary disclosures to be reported.

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*Item 4 - Other Business Activities*

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Richard E. Buchwald is currently not actively engaged in any other investment related business or occupation.

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*Item 5 - Additional Compensation*

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Richard E. Buchwald receives compensation from Logan Capital Management, Inc. from his responsibilities as Managing Director, and for providing advisory services to clients. Mr. Buchwald does not receive any other economic benefit for providing advisory services.

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*Item 6 - Supervision*

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Richard E. Buchwald reports directly to the Logan Capital Management, Inc. Executive Committee, which is headed by Al Besse. Mr. Besse can be reached at 215-569-1100.



Mary T. Evans  
Chief Compliance Officer  
Chief Operating Officer

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*Item 2 - Educational Background and Business Experience*

Year of Birth: 1958

Education:

- Wheaton College (BA, Biology, 1980)

Business Background:

- Director, Portfolio Admin., Philadelphia Int'l Advisors, January 2002 to October 2004
- Director, Institutional Portfolio Admin., Glenmede, September 1996 to January 2002
- Mgr, Portfolio Admin., LTCB-MAS Inv. Mgmt., Inc., September 1988 to September 1996

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*Item 3 - Disciplinary Information*

Mary T. Evans has never had any disciplinary disclosures to be reported.

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*Item 4 - Other Business Activities*

Mary T. Evans is currently not actively engaged in any other investment related business or occupation.

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*Item 5 - Additional Compensation*

Mary T. Evans receives compensation from Logan Capital Management, Inc. from her responsibilities as Chief Operating Officer, and Chief Compliance Officer.

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*Item 6 - Supervision*

Mary T. Evans is a member of, and reports directly to, the Logan Capital Management, Inc. Executive Committee, which is headed by Al Besse. Mr. Besse can be reached at 215-569-1100.





Christopher M. Travers

Managing Director

National Sales and Business Development

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*Item 2 - Educational Background and Business Experience*

Year of Birth: 1962

Education:

- Syracuse University (BA, History, 1986)

Business Background:

- Director - Managed Accounts, Logan Capital Management, January 2016 to May 2017
- Managing Director, Head of Financial Institutions Group, Rothschild Asset Management, Inc. April 2002 to August 2015
- Vice President, Ashland Management Incorporated, August 1999 to March 2002
- Vice President, Director of Investment Management Consulting Services, Deutsche Bank, November 1993 to August 1999
- Assistant Vice President, Alliance Capital, October 1989 to November 1993
- Management Associate, Donaldson, Lufkin & Jenrette Pershing Division, June 1986 – December 1987

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*Item 3 - Disciplinary Information*

Christopher M. Travers has never had any disciplinary disclosures to be reported.

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*Item 4 - Other Business Activities*

Christopher M. Travers is currently a registered representative of Quasar Distributors, LLC (CRD#103848) solely to market the Logan Capital Large Cap Growth Fund to institutional distribution channels, however, he is not compensated by Quasar Distributors, LLC for this activity.

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*Item 5 - Additional Compensation*

Christopher M. Travers receives compensation from Logan Capital Management, Inc. from his responsibilities as Managing Director, National Sales and Business Development responsibilities and for providing advisory services to clients.

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*Item 6 - Supervision*

Christopher M. Travers reports directly to the Logan Capital Management, Inc. Executive Committee, which is headed by Al Besse. Mr. Besse can be reached at 215-569-1100.



Daniel J. Hesketh, CFA  
Managing Director  
Analytics, and Client Service

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*Item 2 - Educational Background and Business Experience*

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Year of Birth: 1975

Professional Designations:

- CFA (Chartered Financial Analyst): The CFA charter is a globally recognized, graduate-level investment credential. To earn the CFA charter, candidates must have four years of qualified investment work experience, and successfully complete the CFA Program, a graduate-level self-study program that combines a broad curriculum with professional conduct requirements, culminating in three sequential exams. To be eligible to enroll in the CFA Program, candidates must have a bachelor's degree or equivalent education or work experience.

Education:

- Eckerd College (BA, Economics, 1997)
- The University of Tampa (MBA, 2004)

Business Background:

- Analyst, Raymond James Financial, April 2000 to September 2005

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*Item 3 - Disciplinary Information*

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Daniel J. Hesketh has never had any disciplinary disclosures to be reported.

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*Item 4 - Other Business Activities*

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Daniel J. Hesketh is currently not actively engaged in any other investment related business or occupation.

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*Item 5 - Additional Compensation*

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Daniel J. Hesketh receives compensation from Logan Capital Management, Inc. from his responsibilities as Managing Director, and for providing advisory services to clients. Mr. Hesketh does not receive any other economic benefit for providing advisory services.

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*Item 6 - Supervision*

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Daniel J. Hesketh reports directly to the Logan Capital Management, Inc. Executive Committee, which is headed by Al Besse. Mr. Besse can be reached at 215-569-1100.



Christopher O'Keefe, CFA

Managing Director  
Portfolio Manager

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*Item 2 - Educational Background and Business Experience*

Year of Birth: 1964

Education:

- Villanova University (BA, 1986)

Business Background:

- Vice President, Lead Portfolio Manager—Manulife Asset Management, January /2001 to January / 2019
- Director—Affinity Wealth Management, January/1995 to January/2000
- Sr. Investment Analyst—CoreStates Investment Advisers, January/1990 to January/1995
- Investment Analyst—First Pennsylvania Bank, January/1986 to January/1990

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*Item 3 – Disciplinary Information*

Christopher O'Keefe has never had any disciplinary disclosures to be reported.

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*Item 4 – Other Business Activities*

Christopher O'Keefe is currently not actively engaged in any other investment related business or occupation.

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*Item 5 – Additional Compensation*

Christopher O'Keefe receives compensation from Logan Capital Management, Inc. from his responsibilities as Managing Director, and for providing advisory services to clients. Mr. O'Keefe does not receive any other economic benefit for providing advisory services.

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*Item 6 – Supervision*

Christopher O'Keefe reports directly to the Logan Capital Management, Inc. Executive Committee which is headed by Al Besse. Mr. Besse can be reached at 215-569-1100.



Wayne M. Breisch, CFA

Managing Director

Portfolio Manager

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*Item 2 - Educational Background and Business Experience*

Year of Birth: 1963

Education:

- Penn State University (BS, BA, 1986)
- Columbia Business School (MBA, 1990)

Business Background:

- Portfolio Manager—Manulife Asset Management, September / 2000 to January 2019
- Senior Financial Counselor—AMG National Trust Bank, July /1995 to September / 2000
- Vice President — Bank of America, January /1995 to July/1995
- Manager—PricewaterhouseCoopers, June/1990 to January/1995
- Engineer—General Electric, June/1986 to August /1988

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*Item 3 – Disciplinary Information*

Wayne M. Breisch has never had any disciplinary disclosures to be reported.

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*Item 4 – Other Business Activities*

Wayne M. Breisch is currently not actively engaged in any other investment related business or occupation.

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*Item 5 – Additional Compensation*

Wayne M. Breisch receives compensation from Logan Capital Management, Inc. from his responsibilities as Managing Director, and for providing advisory services to clients. Mr. Breisch does not receive any other economic benefit for providing advisory services.

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*Item 6 – Supervision*

Wayne M. Breisch reports directly to the Logan Capital Management, Inc. Executive Committee which is headed by Al Besse. Mr. Besse can be reached at 215-569-1100.



Christopher Ouimet, CFA

Managing Director

Portfolio Manager

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*Item 2 - Educational Background and Business Experience*

Year of Birth: 1967

Education:

- Albright College (BA, 1989)
- St. Joseph University (MBA, 1993)

Business Background:

- Portfolio Manager—Manulife Asset Management, October / 2011 to January / 2019
- Portfolio Manager—Knott Asset Management, July / 2010 to October / 2011
- Director—Manager Due Diligence, TCP Global Investments, April/2008 to November/2009
- Portfolio Manager—Pitcairn Financial Group, January/2005 to April /2008
- Portfolio Manager—Geewax, Terker & Company, October/1994 to March/2004

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*Item 3 – Disciplinary Information*

Christopher Ouimet has never had any disciplinary disclosures to be reported.

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*Item 4 – Other Business Activities*

Christopher Ouimet is currently not actively engaged in any other investment related business or occupation.

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*Item 5 – Additional Compensation*

Christopher Ouimet receives compensation from Logan Capital Management, Inc. from his responsibilities as Managing Director, and for providing advisory services to clients. Mr. Ouimet does not receive any other economic benefit for providing advisory services.

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*Item 6 – Supervision*

Christopher Ouimet reports directly to the Logan Capital Management, Inc. Executive Committee which is headed by Al Besse. Mr. Besse can be reached at 215-569-1100.



Sarah J. Henry

Managing Director

Portfolio Manager

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*Item 2 - Educational Background and Business Experience*

Year of Birth: 1972

Education:

- University of Vermont (BA, 1994)
- Boston University (MSA in Multinational Commerce, 1998)

Business Background:

- Senior Research Officer, Director—Manulife Asset Management, Dividend Performers Team, October/1999 to January/2019
- Domestic Equity Project Lead, Assistant Investment Systems Analyst—Manulife Financial Services, Investment Systems Analyst, April /1997 to October/1999

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*Item 3 – Disciplinary Information*

Sarah J. Henry has never had any disciplinary disclosures to be reported.

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*Item 4 – Other Business Activities*

Sarah J. Henry is currently not actively engaged in any other investment related business or occupation.

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*Item 5 – Additional Compensation*

Sarah J. Henry receives compensation from Logan Capital Management, Inc. from her responsibilities as Managing Director, and for providing advisory services to clients. Ms. Henry does not receive any other economic benefit for providing advisory services.

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*Item 6 – Supervision*

Sarah J. Henry reports directly to the Logan Capital Management, Inc. Executive Committee which is headed by Al Besse. Mr. Besse can be reached at 215-569-1100.



William Fitzpatrick

Managing Director

Portfolio Manager

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*Item 2 - Educational Background and Business Experience*

Year of Birth: 1974

Education:

- Villanova University (BS in Business Administration, 1997)
- University of Notre Dame (MBA, 2003)

Business Background:

- Analyst—Manulife Asset Management, February/2011 to January/2019
- Analyst —Optique Capital, February/2007 to February/2011
- Analyst —Johnson Asset Management, [November 2004 to February 2007]

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*Item 3 – Disciplinary Information*

William T. Fitzpatrick has never had any disciplinary disclosures to be reported.

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*Item 4 – Other Business Activities*

William T. Fitzpatrick is currently not actively engaged in any other investment related business or occupation.

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*Item 5 – Additional Compensation*

William T. Fitzpatrick receives compensation from Logan Capital Management, Inc. from his responsibilities as Managing Director, and for providing advisory services to clients. Mr. Fitzpatrick does not receive any other economic benefit for providing advisory services.

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*Item 6 – Supervision*

William Fitzpatrick reports directly to the Logan Capital Management, Inc. Executive Committee which is headed by Al Besse. Mr. Besse can be reached at 215-569-1100.